

17504

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
17504

In Re Application Of: Yasuyuki Futatsugi

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/791,455	March 2, 2004	Unassigned	23389	3739	3454

Title: IMAGE PICK-UP DEVICE



Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

**\*TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
17504

In Re Application: **Yasuyuki Futatsugi**

Application No. 10/791,455	Filing Date March 2, 2004	Examiner Unassigned	Customer No. 23389	Group Art Unit 3739	Confirmation No. 3454
-------------------------------	------------------------------	------------------------	-----------------------	------------------------	--------------------------

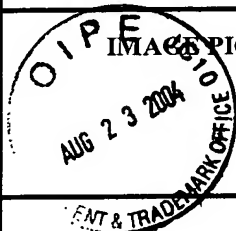


IMAGE PICK-UP DEVICE

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on **August 20, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

\_\_\_\_\_  
Signature

**Thomas Spinelli**

\_\_\_\_\_  
Typed or Printed Name

\*This certificate may only be used if paying by deposit account.

\_\_\_\_\_  
Signature

Dated: **August 20, 2004**

**Thomas Spinelli**  
Registration No. 39,533  
**SCULLY, SCOTT, MURPHY & PRESSER**  
400 Garden City Plaza  
Garden City, NY 11530  
(516) 742-4343  
TS:jam

cc:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Yasuyuki Futatsugi      **Examiner:** Unassigned  
**Serial No.:** 10/791,455      **Art Unit:** 3739  
**Filed:** March 2, 2004      **Docket:** 17504  
**For:** IMAGE PICK-UP DEVICE      **Dated:** August 20, 2004  
  
**Conf. No.:** 3454

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

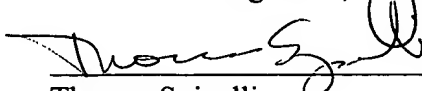
1. European Patent No. EP 0 978 251 A1, dated February 9, 2000;
2. Japanese Patent Application Publication No. 9-253040, dated September 30, 1997, together with English-language Abstract;

---

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 20, 2004.

Dated: August 20, 2004

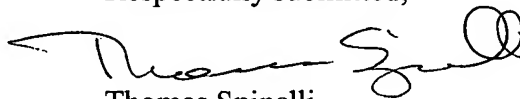
  
Thomas Spinelli

3. United States Patent No. 5,040,069, issued to Matsumoto et al., dated August 13, 1991;
4. United States Patent No. 4,993,405, issued to Takamura et al., dated February 19, 1991; and
5. United States Patent No. 6,146,326, issued to Pollack et al., dated November 14, 2000.

The references were cited in a Search Report dated June 24, 2004, received from the European Patent Office. Applicant is submitting copies of references 1 and 2, together with a copy of the Search Report. In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i) in effect as of June 30, 2003, applicant is not required to submit copies of the above-cited U.S. Patent references. The relevance of the above-identified references has been described in the Search Report.

Further, the undersigned hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,



Thomas Spinelli  
Registration No. 39,533

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

TS:jam

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)				Docket Number (Optional) <b>17504</b>		Application Number <b>10/791,455</b>	
				Applicant(s) <b>Yasuyuki Futatsugi</b>			
				Filing Date <b>March 2, 2004</b>		Group Art Unit <b>3739</b>	

OFFICE  
 AUG 23 2004  
 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,040,069	8/13/1991	Matsumoto et al.			
		4,993,405	2/19/1991	Takamura et al.			
		6,146,326	11/14/2000	Pollack et al.			

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		EP 0 978 251 A1	2/9/2000	Europe				
		9-253040	9/30/1997	Japan				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
	REF	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.